

Notice of the commencement of Proceedings against All Invest Company Limited

By giving such notice, you are informing the Scheme of your future intention to lodge a claim in relation to the outcome of the proceedings for which you are giving notice. Please include a copy of the proceedings with this notice.

Please write clearly in CAPITALS using black ink only. Every page of this form needs to be signed.

For some questions, you may be required to tick the appropriate box. If you run out of space when answering any of the questions below, please continue on a separate sheet and then attach it securely to this form.

All sections MUST be completed.

Throughout this form, we may refer to *you* as the *investor, claimant, plaintiff and/or Applicant as the case may be*.

You should seek legal assistance to determine in giving this notice to the Scheme. The Investor Compensation Scheme is set up by law (Legal Notice 369 of 2003) and is based on EU Directive 97/9 on investor-compensation schemes.

Further information, including a Question and Answer, can be obtained:

Online: www.compensationschemes.org.mt

E-mail: info@compensationschemes.org.mt

Freephone: 80074924 (working days, from 8am to 5pm)

Neither the Scheme, nor the Malta Financial Services Authority, can assist you with the filling in of this Notice.

SECTION 1 – PLAINTIFF/ APPLICANT INFORMATION

You are required to provide your details in the form below.

Plaintiff / Applicant ¹	
First Name	
Surname	
ID Card /Passport	
Title	
Correspondence Address	<i>House Name/ No.</i>
	<i>Address 1</i>
	<i>Address 2</i>
	<i>City</i>
	<i>Country</i>
	<i>Postcode</i>

Day Time Contact No.	
Home Contact No.	
Mobile	
Email	

¹ Details of person instituting proceedings before a Court or Financial Services Tribunal

<i>Plaintiff/ Applicant</i>

SECTION 2 – DETAILS OF THE PROCEEDINGS

In this section we require you to provide us with further details of the Proceedings.

Names under whom the proceedings have been initiated:	
Reference number of the Proceedings given by the Court Registry or of the Arbiter for Financial Services:	

Plaintiff/ Applicant

SECTION 3 – YOUR RELATIONSHIP WITH ALL INVEST COMPANY LIMITED

In this section we require you to provide us with further details of your relationship with All Invest Company Limited.

1. What classification has All Invest Company Limited given you?

Retail Investor

Professional Investor

Eligible Counter Party

Don't Know

2. Do you fall under any of the categories listed under the First Schedule of the Investor Compensation Scheme Regulations?

If so, please specify, in the box below:

Plaintiff/ Applicant

SECTION 4- CONSENT TO HANDLE, USE AND DISCLOSE YOUR PERSONAL DATA

By filling this notice you are giving the Investor Compensation Scheme the consent to:

- I hereby give consent to the Scheme and any third party acting for or on its behalf or under its instructions to carry out any or all of the following:
 - Collecting and processing my personal data (which may include name, contact details, bank details and information concerning my proceedings); and
 - Releasing, disclosing or otherwise making available information and documents concerning my proceedings (including my personal data) to any person in order to:
 - handle my notice;
 - otherwise for the proper exercise of the Scheme’s statutory functions; or
 - allow the Scheme and any third party acting for or on its behalf or under its instructions to comply with legal or regulatory requirements.
- I agree that the Scheme and any third party acting for or on its behalf or under its instructions, may obtain my personal data from relevant third parties (including All Invest Company Limited and/or its insolvency practitioner/liquidator) in order to:
 - process my notice; or
 - otherwise for the proper exercise of its statutory functions; or
 - allow the Scheme and any third party acting for or on its behalf or under its instructions to comply with legal or regulatory requirements.
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- I authorise any such relevant third party holding or controlling my personal data to release such personal data to:
 - the Scheme;
 - MFSA;
 - any third party acting for, on behalf of, or under the instructions of the Scheme or MFSA.
-
- I agree that this consent shall include my consent to the collecting and processing of my personal data by;
 - the Scheme;
 - MFSA;
 - any third party acting for, on behalf of or under the instructions of the MFSA to assist it in carrying out their statutory functions or to comply with legal or regulatory requirements.
- I agree that this consent shall include my consent to the releasing, disclosing and/or otherwise making available of my personal data by the Scheme or the MFSA or to any third party acting for,

Creditor

on behalf of or under the instructions of the MFSA or the Scheme to assist them in carrying out their statutory functions or to allow them to comply with legal or regulatory requirements.

Creditor

SECTION 5—PLAINTIFF/ APPLICANT DECLARATION

Please read the following Declaration and Consent carefully. All investors must sign and date these sections to enable the Scheme to proceed with the investigation of the notice.

- I was a client of All Invest Company Limited.
- I declare that details in this notice and all other information I have provided are true and correct, and that there are no other facts or circumstances which may be relevant to this notice.
- I confirm that I do not have any responsibility for, and that I took no advantage of, the facts that gave rise to All Invest Company Limited financial difficulties or that I have not worsened its financial situation or contributed to its default.
- I declared that the transactions giving rise to this notice were not made in the course of, or for the purpose of, money laundering, disposing of the proceeds of crime, or any criminal activity under Maltese law or under equivalent or similar foreign legislation;.
- Provide any assistance the Investor Compensation Scheme may require to enable it to exercise its rights and remedies against All Invest Company Limited or any other third party.

Creditor

SECTION 6 – SIGNATURES

By signing this notice you are agreeing to all information and statement in the notice.

Signature		Date	
Print Name			
Status/Capacity			

Return the entire notice to us, with any additional pages attached to:

**Investor Compensation Scheme
c/o Malta Financial Services Authority
Notabile Road
Attard BKR 3000**

Re- Notice relating to the commencement of Proceedings against All Invest Company Limited