

ICS Ref. **CLAIM FORM B**

Claim for compensation
in respect of any judgement or arbitration award against All Invest
Company Limited in accordance with regulation 19 (2)
of Legal Notice 368 of 2003

By filling this form, you are applying for compensation to the Investor Compensation Scheme in respect of any judgement or arbitration award against All Invest Company Limited under regulation 19 (2) of the Investor Compensation Scheme Regulations Legal Notice 368 of 2003.

It is important that you attach an authenticated copy of the judgement or award with this claim.

Please write clearly in CAPITALS using blue ink only. Every page of this form needs to be signed by all claimants. For some questions, you may be required to tick the appropriate box. If you run out of space when answering any of the questions below, please continue on a separate sheet and then attach it securely to this form.

All sections MUST be completed (except for Section 3 unless applicable). The form will be returned to you if some information is found missing or incomplete.

Throughout this form, we may refer to *you* as the *investor, claimant* and/or *judgement/award creditor* as the case may be.

You should seek legal assistance to determine whether to accept compensation from the Scheme and whether you have other available means of redress. The Investor Compensation Scheme is set up by law (Legal Notice 369 of 2003) and is based on EU Directive 97/9 on investor-compensation schemes.

Creditor

Further information, including a Question and Answer, can be obtained:

Online: www.compensationschemes.org.mt

E-mail: info@compensationschemes.org.mt

Freephone: 80074924 (working days, from 8am to 5pm)

Neither the Scheme, nor the Malta Financial Services Authority, can assist you with the filling in of this claim form.

Creditor

SECTION 1 – CREDITOR INFORMATION

A claim may be lodged with the Scheme solely by the investor in respect of whom the judgement or award was given, you are required to provide your details in the form below.

<i>Judgement / Award Creditor</i>	
First Name	
Surname	
ID Card /Passport	
Title	
Correspondence Address	<i>House Name/ No.</i>
	<i>Address 1</i>
	<i>Address 2</i>
	<i>City</i>
	<i>Country</i>
	<i>Postcode</i>

Day Time Contact No.	
Home Contact No.	
Mobile	
Email	

Creditor

SECTION 2 – YOUR RELATIONSHIP WITH ALL INVEST COMPANY LIMITED

In this section we require you to provide us with further details of your relationship with All Invest Company Limited. We require evidence so please ensure you attach correspondence and any materials/information provided by officials of the said company and any other documentation showing what happened during your dealings with the said officials in relation to your claim. Please submit documents in original.

1. What classification has All Invest Company Limited given you?

Retail Investor

Professional Investor

Eligible Counter Party

Don't Know

2. Do you fall under any of the categories listed in the First Schedule of the Investor Compensation Scheme Regulations?

If so, please specify, in the box below:

Creditor

SECTION 3 – DECEASED CREDITOR

A claim may be lodged with the Scheme solely by the investor in respect of whom the judgement or award was given or by his heir. Use this section only where the investor in respect of whom the judgement or award was given has died.

Kindly provide us with the date of death (dd/mm/yy) of the deceased creditor named in Section 1:

Creditor		

Please attach the following documents (*tick as appropriate*):

All documents supplied for the purposes of this section must be in original.

- Original Death Certificate of deceased investor
- Authenticated Copy of Last Will of deceased investor
- Original Copy of Testamentary Searches
- Written Notarial Advice declaring the successors in title of the deceased and/or Causa Mortis

Creditor

SECTION 4 – OTHER INFORMATION

1. Have you received or do you expect to receive in the future any payment from any other source concerning the same loss for which you are making a claim in this form, whether from All Invest Company Limited or any other person?

Yes

No

If yes kindly provide additional details:

Creditor

SECTION 5- CONSENT TO HANDLE, USE AND DISCLOSE YOUR PERSONAL DATA

By making this application to the Investor Compensation Scheme for compensation in respect of by All Invest Company Limited:

- I give consent to the Scheme and any third party acting for or on its behalf or under its instructions to carry out any or all of the following:
 - Collecting and processing my personal data (which may include name, contact details, bank details and information concerning my claim); and
 - Releasing, disclosing or otherwise making available information and documents concerning my claim (including my personal data) to any person in order to:
 - handle my claim for compensation;
 - pursue the recovery from All Invest Company Limited and/or any relevant third party of any amounts in connection with my claim;
 - give effect to any agreement or arrangement between the Scheme and any third party directly or indirectly related to such recovery;
 - otherwise for the proper exercise of the Scheme’s statutory functions; or
 - allow the Scheme and any third party acting for or on its behalf or under its instructions to comply with legal or regulatory requirements.
- I agree that the Scheme and any third party acting for or on its behalf or under its instructions, may obtain my personal data from relevant third parties (including All Invest Company Limited and/or its insolvency practitioner/liquidator) in order to:
 - process my claim for compensation; or
 - pursue the recovery from All Invest Company Limited and/or any relevant third party of any amounts in connection with my claim;
 - give effect to any agreement or arrangement between the Scheme and any third party directly or indirectly related to such recovery;
 - otherwise for the proper exercise of its statutory functions; or
 - allow the Scheme and any third party acting for on its behalf or under its instructions to comply with legal or regulatory requirements.
- I authorise any such relevant third party holding or controlling my personal data to release such personal data to:
 - the Scheme;
 - MFSA;
 - any third party acting for, on behalf of, or under the instructions of the Scheme or MFSA.
- I agree that this consent shall include my consent to the collecting and processing of my personal data by;

Creditor

- the Scheme;
 - MFSA;
 - any third party acting for, on behalf of or under the instructions of the MFSA to assist it in carrying out their statutory functions or to comply with legal or regulatory requirements.
-
- I agree that this consent shall include my consent to the releasing, disclosing and/or otherwise making available of my personal data by the Scheme or the MFSA or to any third party acting for, on behalf of or under the instructions of the MFSA or the Scheme to assist them in carrying out their statutory functions or to allow them to comply with legal or regulatory requirements.

 - I agree that any of the MFSA or the Scheme or any successor to any of them may outsource to carefully selected third parties some or all of its statutory duties or functions in connection with processing my claim for compensation, or pursuing the recovery of any amounts in connection with my claim. Such outsourcing may involve the sharing of my personal data between the MFSA/Scheme and such third parties.

Creditor

SECTION 6– CREDITOR DECLARATION

Please read the following Declaration and Consent carefully. All investors must sign and date these sections to enable the Scheme to proceed with the investigation of the claim.

- I was a client of All Invest Company Limited.
- I declare that details in my application form and all other information I have provided are true and correct, and that there are no other facts or circumstances which may be relevant to my application for compensation.
- I hereby agree that any payment for compensation received or expected to be received from All Invest Company Limited or any other person in respect of the losses for which I am claiming compensation shall be transferred and paid to the Investor Compensation Scheme.
- I confirm that I do not have any responsibility for, and that I took no advantage of, the facts that gave rise to All Invest Company Limited financial difficulties or that I have not worsened its financial situation or contributed to its default.
- I declare that the transactions giving rise to this claim were not made in the course of, or for the purpose of, money laundering, disposing of the proceeds of crime, or any criminal activity under Maltese law or under equivalent or similar foreign legislation;.
- I confirm that I am duly authorised to give a valid receipt for any compensation due in respect of this claim and to accept the terms for the offer and payment of compensation (including the subrogation of the Investor Compensation Scheme in all my rights against All Invest Company Limited for the recovery of the losses suffered and compensated for by the Investor Compensation Scheme.
- I confirm that my claim against All Invest Company Limited is not subject to any charge, security trust, assignment, negative pledge or other encumbrance or right in favour of any third party.
- I understand that the Investor Compensation Scheme will, on paying any compensation to me, be subrogated in all my rights and claims against All Invest Company Limited and against any other party for the recovery of the compensation limited up to the compensation paid to me by the Investor Compensation Scheme. The aforesaid subrogation shall not prejudice any claims that I/we may have for any amount in excess of the amount paid to me/us by the Investor Compensation Scheme.
- Provide any assistance the Investor Compensation Scheme may require to enable it to exercise its rights and remedies against All Invest Company Limited or any other third party.

Creditor

SECTION 7 – SIGNATURES

By signing this form, you are agreeing to all information and statement in the application form.

In the case of deceased persons, all the successors in title indicated in Section 2 are to sign this form as claimants.

Signature		Date	
Print Name			
Status/Capacity			

SECTION 8 - CHECKLIST

This application form has 8 sections:

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Please confirm that:

- you have completed all sections of this application form (except for Section 3 which is only compiled if applicable to you).
- you have signed each page of this application form (in the space provided).
- all the relevant trustees/notaries signed the forms (If Applicable).
- you have attached an authenticated copy of the judgement or award.
- you have enclosed all original documentation you wish the Investor Compensation Scheme to consider.

Return the entire form to us, with any additional pages attached to:

Investor Compensation Scheme
c/o Malta Financial Services Authority
Notabile Road
Attard BKR 3000

Re: Claim relating to All Invest Company Limited